

# EXHIBIT A

## SECURITIES INVESTOR PROTECTION CORPORATION

## Irving H. Picard, Trustee for the Liquidation of Bernard L. Madoff Investment Securities, LLC

Period Ended September 30, 2019

CASH RECEIPTS:

	General Cash Receipts	Net Change for Period	Prior Period Cumulative	Total Received	Customer Fund	Cumulative Detail
		\$19,079,090.31				General Estate
						SIPC
Beginning Cash Balance	0.00	289,841,911.70	289,841,911.70	289,841,911.70	289,841,911.70	4011
Transfer from Debtor's Estate - Securities	0.00	336,560,934.06	336,560,934.06	336,560,934.06	336,560,934.06	4014
Transfers from Debtor's Estate - BNY Account	0.00	235,156,309.36	235,156,309.36	235,156,309.36	235,156,309.36	4016
Transfers from Debtor's Estate - Chase Account	0.00	4,036,145.08	4,036,145.08	4,036,145.08	4,036,145.08	4018
Transfers from Debtor's Estate - Other	0.00	1,843,180.59	1,843,180.59	1,843,180.59	1,843,180.59	4040
Interest and Dividends	0.00	37,316,297.78	37,316,297.78	37,316,297.78	37,316,297.78	4030
Closeout Proceeds - Broker Dealers	0.00	21,783,082.40	21,783,082.40	21,783,082.40	21,783,082.40	4031
Closeout Proceeds - NSCC	0.00	18,001,787.96	18,001,787.96	18,001,787.96	18,001,787.96	4032
Closeout Proceeds - DYCC	0.00	47.26	47.26	47.26	47.26	4070
Sale of Debtor's Assets	0.00	91,037.20	91,037.20	91,037.20	91,037.20	4071
- Sports Tickets	0.00	7,959,450.94	7,959,450.94	7,959,450.94	7,959,450.94	4072
- Bank Debt Participations	0.00	204,170.51	204,170.51	204,170.51	204,170.51	4073
- DYCC Shares	0.00	1,419,801.63	1,419,801.63	1,419,801.63	1,419,801.63	4075
- Market Making Business	0.00	795,000.00	795,000.00	795,000.00	795,000.00	4076
- Ablech	0.00	100,734.60	100,734.60	100,734.60	100,734.60	4077
- NSX Shares	0.00	6,494,631.95	6,494,631.95	6,494,631.95	6,494,631.95	4074
- BLM Air Charter	0.00	644,579.15	644,579.15	644,579.15	644,579.15	4078
- Auction Sales	0.00	11,428.57	11,428.57	11,428.57	11,428.57	4079
- Other	0.00	157.00	157.00	157.00	157.00	4111
Administrative Subtenant Rent Revenue	0.00	62,451.27	62,451.27	62,451.27	62,451.27	4111a
Adjusting Administrative Subtenant Rent Revenue	0.00	531,078.49	531,078.49	531,078.49	531,078.49	4091
Refunds & Deposits	0.00	9,841.45	9,841.45	9,841.45	9,841.45	4092
- Dues/Subscriptions	0.00	177,247.15	177,247.15	177,247.15	177,247.15	4093
- Car Registrations	0.00	157.00	157.00	157.00	157.00	4094
- Vendors	0.00	833.61	833.61	833.61	833.61	4095
- Transit Cards	0.00	442,311.56	442,311.56	442,311.56	442,311.56	4096
- Insurance/Workers Comp	0.00	144,500.00	144,500.00	144,500.00	144,500.00	4097
- Ref - Political Contributions	0.00	50.84	50.84	50.84	50.84	4099
- Refunds Other	0.00	112,592,379.79	112,592,379.79	112,592,379.79	112,592,379.79	4020
Recoveries - Customer Avoidances	0.00	1,903,783,597.98	1,903,783,597.98	1,903,783,597.98	1,903,783,597.98	4021
- Pre-Litigation Settlements	0.00	1,162,770.50	10,330,561,359.54	10,331,724,130.04	10,331,724,130.04	4022
- Litigation Settlements	0.00	875,000.00	875,000.00	875,000.00	875,000.00	4023
- Donation Settlements	0.00	809,850.39	809,850.39	809,850.39	809,850.39	4024
- Vendor Preferences	0.00	1,034,311.82	1,034,311.82	1,034,311.82	1,034,311.82	4025
- MSIL Liquidation	0.00	10,674.74	10,674.74	10,674.74	10,674.74	4102
- Employees	0.00	12,777.56	12,777.56	12,777.56	12,777.56	4103
- Training Authorities	0.00	2,648,501.82	2,648,501.82	2,648,501.82	2,648,501.82	4104
- Class Actions	0.00	308,948.49	308,948.49	308,948.49	308,948.49	4105
- NASDAQ	0.00	183,683.79	183,683.79	183,683.79	183,683.79	4106
- NYSE	0.00	96,816.23	96,816.23	96,816.23	96,816.23	4107
- Transaction Fees	0.00	796,298.73	796,298.73	796,298.73	796,298.73	4109
- Other	0.00	0.36	0.36	0.36	0.36	4110
Miscellaneous	0.00	98,714,349.64	100,537,912.02	100,537,912.02	100,537,912.02	4120
Earnings on Trustee's Investments	0.00	6,940,039.88	7,776,436.65	7,776,436.65	7,776,436.65	4140
Interest on Trustee's Savings Accounts	0.00	\$13,422,366,514.38	\$13,425,589,244.03	\$13,425,589,244.03	\$13,425,589,244.03	
Sub-total SIPC Advances	\$18,208,594.84	\$2,667,625,595.34	\$2,685,834,190.18	\$2,685,834,190.18	\$2,685,834,190.18	2901
Administration - Advances	18,208,594.84	1,821,321,260.37	1,840,029,855.21	1,840,029,855.21	1,840,029,855.21	2921
Securities - Paid Bank Loans	0.00	0.00	0.00	0.00	0.00	2922
- Cash in Lien	0.00	845,804,334.97	845,804,334.97	845,804,334.97	845,804,334.97	1901
Funds Transferred from Investment Accounts *See Note (2) on Page 3	0.00	11,296,328,306.41	11,296,328,306.41	11,296,328,306.41	11,296,328,306.41	
Total Cash Receipts	\$21,431,324.49	\$27,386,320,416.13	\$27,407,751,401.62	\$13,425,589,244.03	\$13,425,589,244.03	\$13,425,589,244.03

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Period Ended September 30, 2019

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CASH DISBURSEMENTS:

Administrative Disbursements

General Administrative Disbursements

	Net Change for Period	Prior Period Cumulative	Cumulative Total Paid	Code
Computer - Rental	0.00	11,121.59	11,121.59	5011
- Software Support	0.00	55,159.20	55,159.20	5012
- Equipment Leases	0.00	204,159.01	204,159.01	5013
Employee Related - Salaries-Net	0.00	4,361,844.80	4,361,844.80	5020
- FICA-Employer	0.00	318,550.60	318,550.60	5021
- Fed. & St. Unemploy.	0.00	4,296.08	4,296.08	5023
- Temporary Help	0.00	29,612.50	29,612.50	5024
- Employee Medical Plan	0.00	830,103.99	830,103.99	5025
- Employee LTD	0.00	6,887.03	6,887.03	5026
- Employee Expense Reimbursement	0.00	1,125.87	1,125.87	5027
- Employee Life/AD&D	0.00	9,006.83	9,006.83	5028
- Other	0.00	1,622.90	1,622.90	5029
Insurance - Trustee Bond	0.00	6,000.00	6,000.00	5030
Insurance - Surety & Fidelity Bonds	0.00	37,400.00	37,400.00	5031
Insurance Workers Comp	0.00	12,578.00	12,578.00	5032
- Other	0.00	49,450.20	49,450.20	5039
Fees - Payroll Processing	0.00	8,195.96	8,195.96	5045
Fees - Escrow	0.00	1,221,698.85	1,221,698.85	5046
- Other	0.00	24,168.64	24,168.64	5047
Expenses for Asset Sales	0.00	48,429.09	48,429.09	5048
Rent - Office	0.00	3,987,347.17	3,987,347.17	5050
- Adjustment for Administrative Subtenant Rent Revenue	0.00	(531,078.49)	(531,078.49)	5050a
- Equipment	0.00	1,695.89	1,695.89	5051
- Warehouse	18,874.81	1,917,006.94	1,935,881.75	5052
- Bulova	0.00	310,130.75	310,130.75	5053
- Other	0.00	63,185.27	63,185.27	5059
Costs - Vacating 885 Third Avenue	0.00	20,179.46	20,179.46	5111
Telephone and Telegraph	0.00	360,456.68	360,456.68	5060
Communication Fees	0.00	670,057.02	670,057.02	5061
Utilities - Electricity	496.70	48,795.41	49,292.11	5070
Office Supplies & Expense - Maint. & Repairs	0.00	79,338.86	79,338.86	5080
- Moving & Storage	3,371.16	462,791.40	466,162.56	5081
- Postage/Handling/Preparation	0.00	40,961.12	40,961.12	5082
- Reproduction	0.00	183,889.65	183,889.65	5083
- Locksmith	0.00	5,811.39	5,811.39	5084
- Security	0.00	249,897.70	249,897.70	5085
- Supplies	0.00	3,865.31	3,865.31	5086
- Temporary Help	0.00	4,588,642.69	4,588,642.69	5087
- Process Server - Complaint	0.00	244,026.52	244,026.52	5088
- Other	0.00	36,250.63	36,250.63	5089
Taxes	0.00	555.51	555.51	5090
NYC Commercial Rent Tax	0.00	154,269.47	154,269.47	5091
Claims Related Costs - Mailing Costs	0.00	23,053.28	23,053.28	5101
- Publication	0.00	163,961.13	163,961.13	5102
- Supplies	0.00	16,244.58	16,244.58	5103
- Printing	0.00	2,207.42	2,207.42	5104
Court Related Noticing - Postage/Handling/Preparation *See Note (1) Below	0.00	0.00	0.00	5106
- Reproduction	0.00	0.00	0.00	5107
- Supplies	0.00	0.00	0.00	5108
Scanning - Investigation	0.00	5,189,846.75	5,189,846.75	5110
Foreign Research	0.00	38,975.00	38,975.00	5112
Miscellaneous	0.00	666.91	666.91	5115
Hosting Expense	15,176.00	49,738,867.12	49,754,043.12	5244
<b>Sub-total General Admin. Disbursements</b>	<b>\$37,918.67</b>	<b>\$75,313,309.68</b>	<b>\$75,351,228.35</b>	
<b>Professional Fees and Expenses</b>				
Trustee Fees	0.00	4,377,662.10	4,377,662.10	5200
Trustee Expenses	0.00	2,549.25	2,549.25	5201
Trustee Counsel Fees (Baker)	16,836,712.80	1,115,337,968.32	1,132,174,681.12	5210
Trustee Counsel Expenses (Baker)	90,553.93	18,127,848.33	18,218,402.26	5211
Trustee Counsel Fees (Windels)	2,345,873.01	62,810,113.23	65,155,986.24	5212
Trustee Counsel Expenses (Windels)	15,953.46	683,395.70	699,349.16	5213
Special Counsel Fees	2,692,782.87	87,806,015.76	90,498,798.63	5220
Special Counsel Expenses	36,219.72	14,710,549.34	14,746,769.06	5221
Accountant Fees	0.00	0.00	0.00	5230
Accountant Expenses	0.00	0.00	0.00	5231
Consultant Fees	1,397,703.44	435,815,265.08	437,212,968.52	5240
Consultant Expenses *See Note (1) Below	128,988.88	19,412,382.55	19,541,371.43	5241
Investment Banker Fees	0.00	1,050,000.00	1,050,000.00	5242
Sales Tax	0.00	1,666,952.45	1,666,952.45	5243
Mediator Fees	5,000.00	4,167,785.86	4,172,785.86	5245
Mediator Expenses	0.00	14,033.44	14,033.44	5246
Receiver Counsel Fees	0.00	300,000.00	300,000.00	5260
Receiver Counsel Expenses	0.00	6,449.08	6,449.08	5261
Receiver's Consultants Fees	0.00	316,000.00	316,000.00	5262
Receiver's Consultants Expenses	0.00	15,000.00	15,000.00	5263
<b>Sub-total Professional Fees and Expenses</b>	<b>\$23,549,788.11</b>	<b>\$1,766,619,978.49</b>	<b>\$1,790,169,758.60</b>	
<b>Total Administrative Disbursements</b>	<b>\$23,587,706.78</b>	<b>\$1,841,933,280.17</b>	<b>\$1,865,520,986.95</b>	

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Period Ended September 30, 2019

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\* Note (2) Two preferred custody accounts and an insured money market account have been established at Citibank for investment purposes and additional investment accounts are maintained at JP Morgan Chase and Goldman Sachs. The Broker's account, which was previously established at Morgan Joseph, was closed in January 2012. Since January 2009, \$12,925,209,743.64 of recovered funds have been transferred into these investment accounts and \$11,296,328,306.41 of these funds have subsequently been used for interim distributions to customers with allowed claims and for operations. (See Page 5 for more details).

\*\* Note (2) The ending cash balance includes a \$14,146,058.40 balance in the Citibank Business Checking Account and \$716,620.47 in the Citibank Distribution Account.

Period Ended September 30, 2019

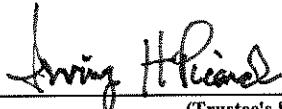
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**SUMMARY INFORMATION ON STATUS OF LIQUIDATION**

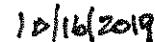
	Customer Claimants	Broker/Dealer Claimants	General Estate Claimants
Claims received	<u>16,521</u>	<u>49</u>	<u>95</u>
Claims satisfied by distribution of cash and/or securities:			
a. As part of the transfer in bulk			
b. On an account by account basis-Fully Satisfied	1,649		
c. On an account by account basis-Partially Satisfied	990 <u>2,639</u>	<u>-</u>	<u>-</u>
Claims Determined - no claims	12		
Claims Deemed Determined - pending litigation	23		
Claims Determined - withdrawn	417		
Claims Determined but not yet satisfied	2		
Claims under review		49	95
Claims Denied:			
a. Other Denials for which no objections were filed	10,208		
b. Denials for which objections were filed:			
- Trustee's Determinations Affirmed	2,905		
- Hearing not yet set	315		
- Set for Hearing		<u>49</u>	<u>95</u>
	<u>13,882</u>		

**Filing Date Value**

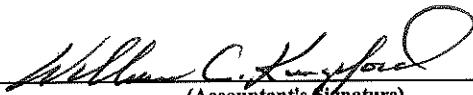
Customer name securities distributed	
Customer fund securities distributed	<u>\$</u>



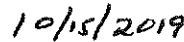
(Trustee's Signature)



(Date)



(Accountant's Signature)



(Date)



Period Ended September 30, 2019

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**IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS, LLC**  
**Consultant Expenses for Court Related Noticing and Interim Distributions**

	<b>Net Change for Period</b>	<b>Prior Period Cumulative</b>	<b>Cumulative Total Paid</b>
Postage / Handling / Preparation	0.00	539,518.90	539,518.90
Printing	0.00	44,945.40	44,945.40
Reproduction Costs	0.00	762,418.30	762,418.30
Supplies	0.00	98,493.66	98,493.66
<b>Total *See Note Below</b>	<b><u>\$0.00</u></b>	<b><u>\$1,445,376.26</u></b>	<b><u>\$1,445,376.26</u></b>

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\*Note: All of the expenses above were incurred by consultants in connection with court related noticing procedures and Interim Distributions, which are included in the Consultant Expenses line (Account #5241) on Page 2 of the SIPC Form 17.